

# **Consolidated, Community Living, Person/Family Directed Support (P/FDS), and Adult Autism Waivers**

## **Appendix K Updates**

Presentation for Stakeholders

August 7<sup>th</sup>, 2020



## Appendix K Changes and Updates on:

- I. Employment Services
  
- II. Other Services and Changes
  
- III. Specialized Supplies
  
- IV. Waiver Services in a Hospital Setting

- The second set of Appendix K flexibilities add to the flexibilities in the first Appendix K.
  - Most of the flexibilities in the first Appendix K remain in place (example: remote service delivery)
- Effective from March 11, 2020 to March 10, 2021
  - ODP will publish guidance regarding when specific flexibilities end.
  - The updated Appendix K Operational Guide and additional guidance are forthcoming.

# I. Employment Services

- Expand **Supported Employment** to include assisting participants in applying for unemployment benefits when they have lost their jobs
  - Also applies to **Career Planning** in the AAW



# I. Employment Services



- In the Adult Autism waiver:
  - **Supported Employment** may be rendered by relatives or legally responsible individuals when they have been hired by the provider agency authorized in the ISP
  - ODP's Employment/Vocational Services Training is still required, with case-by-case exceptions such as a technology limitation

## II. Other Services and Changes



- **Supports Coordination**

- Individual Support Plan (ISP) consent can be verified by electronic signature or secure email from team while HIPAA requirements are followed
- During the check-in between the Supports Coordinator and the individual, changes can be made without input from the entire team when driven by individualized participant need, circumstance, and consent

## II. Other Services and Changes



- **Respite**

- Consolidated waiver: Respite limits may be exceeded beyond 480 15-minute units without requesting a variance
- Community Living and P/FDS waivers: Respite limits may be exceeded beyond 1440 15-minute units without requesting a variance
- Exceeding the limits should only occur to meet the immediate health and safety needs of a participant

## II. Other Services and Changes

- **In-Home and Community Support & Companion**



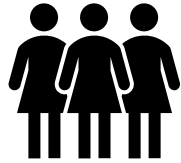
- Any one relative or legal guardian may provide more than 40 hours per week of either/both services
  - This applied in counties in red and yellow phases.
- Within 45 calendar days of the county moving to the green phase, any one relative or legal guardian may provide a maximum of 40 hours per week of either/both services.
  - [Communication 20-090](#)



## II. Other Services and Changes



- **In-Home and Community Support & Companion**



- Multiple relatives or legal guardians of the participant may combine to provide more than 60 hours per week of either/both services.
  - Applies in counties in red, yellow and green phases.

## II. Other Services and Changes



- **In-Home and Community Support & Companion**

Example: An individual is authorized for 70 hours of In-Home and Community Support per week. The individual's sister can render 40 hours of the services per week. The individual's uncle can render the remaining 30 hours of services per week.

## II. Other Services and Changes



- **In-Home and Community Support & Companion**

Definition of relative and legal guardian is in Appendix C-2-e of the waivers.

A relative is any of the following by blood, marriage or adoption who have not been assigned as legal guardian for the participant: a spouse, a parent of an adult, a stepparent of an adult child, grandparent, brother, sister, aunt, uncle, niece, nephew, adult child or stepchild of a participant or adult grandchild of a participant.

## II. Other Services and Changes



- **Supports Broker** limit of 1040 15-minute units may be exceeded up to 2080 15-minute units per participant per Fiscal Year

## II. Other Services and Changes



- **QA&I** will be an interim process for FY 20/21, with telephone/remote individual interviews as well as COVID-19 specific questions
  - Entities will not complete a self-assessment
  - Communication [20-094](#)

Please send questions via email directly to the QA&I Process Mailbox at [RA-PWQAIProcess@pa.gov](mailto:RA-PWQAIProcess@pa.gov)



## II. Other Services and Changes



- July, August, and September retainer payments for **Community Participation Support** and **Day Habilitation** services
  - Up to three consecutive episodes of up to 30 days per beneficiary may be made. These episodes may begin the day after the previous episode ended.
  - Provider attestation forms – Communication [20-085](#)
  - For July 2020, all facilities that have submitted a provider attestation form as per instructions will receive a retainer payment calculated at 50% of average monthly billing – Communication [20-095](#)

## III. Specialized Supplies



- **Specialized Supplies** service may be used to purchase personal protective equipment (PPE) and related supplies to mitigate the spread of COVID-19
- The new limit is \$1500 per participant per FY
  - For the ID/A waivers:
    - The incontinence supplies limit is \$500 out of the \$1500
    - If the individual does not use up to the \$500 limit on incontinence supplies, any remainder may be used for PPE or related supplies

## III. Specialized Supplies

### Limit Example:

Example: Maya is enrolled in an ID/A Waiver. She has used \$300 of the \$500 limit (\$200 remaining) on incontinence supplies. Maya also needs PPE for herself and her unpaid caregivers. Since she still has \$200 that she did not use for her incontinence supplies, she may use that money towards the cost of PPE, for a total of \$1,200 towards PPE.





# III. Specialized Supplies

## Personal Protective Equipment (PPE)

- Gloves
- Respirators (as directed by health care practitioner OR for individual who is COVID-19 positive)
- Surgical Masks
- Gowns
- Goggles
- Alcohol-based Hand Sanitizer

## Related Supplies to Mitigate the Spread of COVID-19

- Cloth Masks
- Face Shields
- Pulse Oximeter (Pulse Ox) Monitors
- Thermometers (1 per individual)



## III. Specialized Supplies



- Specialized Supplies can still only be authorized for adult waiver participants (21 and older)
- An individual receiving Residential Habilitation, Life Sharing, or Supported Living is **unable** to receive the Specialized Supplies service

# III. Specialized Supplies

- PPE and supplies are covered for the following:
  - Unpaid caregivers who do not render any paid services to the individual and who will use the PPE and supplies to maintain the health and welfare of the ***individual***, and
  - Support service professionals (SSPs) in the Vendor Fiscal/Employer Agent model of Participant-Directed Services



## III. Specialized Supplies



- The process for obtaining and documenting eligibility for incontinence supplies remains the same – see ISP Manual
- Best practice for Supports Coordinators to track the following in the Outcome Actions – Frequency and Duration section or the comment field in the vendor screen of the ISP:
  - Amount of incontinence supplies
  - Amount of PPE
  - Amount of other COVID-19 related supplies

## III. Specialized Supplies



- Once the service is authorized, it can only be purchased through one of the following:
  - Organized Health Care Delivery Systems (OHCDS)
  - Agency with Choice organizations
  - Vendor Fiscal/Employer Agent organization
- Participants and families **cannot** purchase supplies and ask for reimbursement

## IV. Waiver Services in Hospital

- The first Appendix K established that select waiver services could be provided in a Hospital setting if the participant has a COVID-19 diagnosis
- **Effective July 1<sup>st</sup>, 2020**, ODP has received approval from CMS to allow these services to occur in Hospital settings without a COVID-19 diagnosis



## IV. Waiver Services in Hospital



- Consolidated, Community Living, and P/FDS services
  - Supplemental Habilitation
  - Supports Coordination
  - Supports Broker
  - In-Home and Community Support
  - Companion
  - Behavioral Support

## IV. Waiver Services in Hospital



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- Adult Autism Waiver services
  - Temporary Supplemental Services
  - Specialized Skill Development
  - Supports Coordination



## IV. Waiver Services in Hospital



- Department of Health Visitor Policies
  - While hospitals are entitled to discretion in the implementation of visitor policies, the terms of those policies must adhere to Federal and State law. **Specifically, a hospital, through its visitor policy, cannot deny access to an attendant, caregiver or family member of a patient who has an intellectual, developmental or cognitive disability, communication barrier, or behavioral concerns.**
  - <https://www.health.pa.gov/topics/disease/coronavirus/Pages/Guidance/Hospital-Guidance.aspx>

## IV. Waiver Services in Hospital



- The following requirements apply to any service in the Adult Autism and ID/A waivers which can be provided in a Hospital setting
  - It is included in the ISP and there is indication it can be provided in a Hospital;
  - It ensures smooth transitions between the Hospital and acute or community-based settings, and preserve the individual's functional abilities;

## IV. Waiver Services in Hospital



- The following requirements apply to any service in the Adult Autism and ID/A waivers which can be provided in a Hospital setting
  - It meets the needs of the individual that cannot be met by Hospital services;
  - Are not duplicate services that the hospital is obligated to provide through Federal or state law, or under another applicable requirement
    - Services can assist the individual with communication, intensive personal care, or behavioral support as written in the behavior support plan.

## IV. Waiver Services in Hospital

- During the COVID-19 pandemic, individuals who are admitted to a hospital are not to be disenrolled from the waiver.



# Questions?



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