

Incident Management Bulletin Category and 55 Pa. Code §§ 6100.401-6100.402 Crosswalk

Incident Management Bulletin Incident Primary and Secondary Categories		55 Pa. Code § 6100.401 Types of Incidents	55 Pa. Code § 6100.402 Incident Investigation
Primary Category	Secondary Category	Pa. Code Reporting Category	Pa. Code Investigation Category
Abuse	Physical	Abuse, including abuse to an individual by another individual.	Abuse, including abuse to an individual by another individual.
Abuse	Psychological	Abuse, including abuse to an individual by another individual.	Abuse, including abuse to an individual by another individual.
Abuse	Misapplication/Unauthorized use of restraint (injury)	Abuse, including abuse to an individual by another individual.	Abuse, including abuse to an individual by another individual.
Abuse	Misapplication/Unauthorized use of restraint (no injury)	Abuse, including abuse to an individual by another individual.	Abuse, including abuse to an individual by another individual.
Abuse	Seclusion	Abuse, including abuse to an individual by another individual.	Abuse, including abuse to an individual by another individual.
Behavioral Health Crisis Event	Community Based Crisis Response	Law enforcement activity that occurs during the provision of a service or for which an individual is the subject of a law enforcement investigation that may lead to criminal charges against the individual.	Not Applicable

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Behavioral Health Crisis Event	Facility Based Crisis Response	Law enforcement activity that occurs during the provision of a service or for which an individual is the subject of a law enforcement investigation that may lead to criminal charges against the individual.	Not Applicable
Behavioral Health Crisis Event	Immediate Arrest and Incarceration Crisis Response	Law enforcement activity that occurs during the provision of a service or for which an individual is the subject of a law enforcement investigation that may lead to criminal charges against the individual.	Not Applicable
Behavioral Health Crisis Event	Psychiatric Hospitalization (involuntary)	Inpatient admission to a hospital	Not Applicable
Behavioral Health Crisis Event	Psychiatric Hospitalization (voluntary)	Inpatient admission to a hospital	Not Applicable
Death	Natural Causes-Services Provided	Death	Death that occurs during the provision of a service

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Death	Unexpected-Services Provided	Death	Death that occurs during the provision of a service
Death	Natural Causes-Only Supports Provided	Death	Not Applicable
Death	Unexpected-Only Supports Provided	Death	Not Applicable
Exploitation	Misuse/Theft of Funds	Theft or misuse of individual funds	Theft or misuse of individual funds.
Exploitation	Failure to Obtain Informed Consent	Exploitation	Exploitation
Exploitation	Material Resources	Exploitation	Exploitation
Exploitation	Medical Responsibilities/Resources	Exploitation	Exploitation
Exploitation	Missing/Theft of Medications	Exploitation	Exploitation
Exploitation	Room and Board	Exploitation	Exploitation
Exploitation	Unpaid Labor	Exploitation	Exploitation

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Fire	Fire with Property Damage	Fire requiring the services of the fire department. This provision does not include false alarms.	Not Applicable
Fire	Fire without Property Damage	Fire requiring the services of the fire department. This provision does not include false alarms.	Not Applicable
Law Enforcement Activity	Individual charged with a crime/under police investigation	Law enforcement activity that occurs during the provision of a service or for which an individual is the subject of a law enforcement investigation that may lead to criminal charges against the individual.	Not Applicable

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Law Enforcement Activity	Licensed service location crime	Law enforcement activity that occurs during the provision of a service or for which an individual is the subject of a law enforcement investigation that may lead to criminal charges against the individual.	Not Applicable
Missing Individual	In Jeopardy	An individual who is missing for more than 24 hours or who could be in jeopardy if missing for any period of time.	Not Applicable
Neglect	Failure to provide medication management	Neglect	Neglect
Neglect	Failure to provide needed care	Neglect	Neglect
Neglect	Failure to provide protection from hazards	Neglect	Neglect
Neglect	Failure to provide needed supervision	Neglect	Neglect
Neglect	Moving violation	Neglect	Neglect

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Passive Neglect	Inability to provide necessities	Neglect	Not Applicable
Passive Neglect	Inability to provide medical/personal care	Neglect	Not Applicable
Rights Violation	Civil/Legal	A violation of individual rights.	A violation of individual rights.
Rights Violation	Communication	A violation of individual rights.	A violation of individual rights.
Rights Violation	Health	A violation of individual rights.	A violation of individual rights.
Rights Violation	Privacy	A violation of individual rights.	A violation of individual rights.
Rights Violation	Services	A violation of individual rights.	A violation of individual rights.
Rights Violation	Unauthorized Restrictive Procedure	A violation of individual rights.	A violation of individual rights.
Self-Neglect	Medical	Neglect	Not Applicable
Self-Neglect	Environmental	Neglect	Not Applicable
Self-Neglect	Personal Care/Nutrition	Neglect	Not Applicable
Self-Neglect	Other	Neglect	Not Applicable

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Serious Illness	Chronic/Recurring	Inpatient admission to a hospital	Not Applicable
Serious Illness	New	Inpatient admission to a hospital	Not Applicable
Serious Injury	Injury Accidental	Injury requiring treatment beyond first aid.	Inpatient admission to a hospital as a result of an accidental or unexplained injury or an injury caused by a staff person, another individual or during the use of a restraint.
Serious Injury	Injury Self-Inflicted	Injury requiring treatment beyond first aid.	Not Applicable
Serious Injury	Injury Unexplained	Injury requiring treatment beyond first aid.	Inpatient admission to a hospital as a result of an accidental or unexplained injury or an injury caused by a staff person, another individual or during the use of a restraint.
Serious Injury	Medical Equipment/Failure/Malfunction	Injury requiring treatment beyond first aid.	Not Applicable
Serious Injury	Choking	Injury requiring treatment beyond first aid.	Inpatient admission to a hospital as a result of an accidental or unexplained injury or an injury caused by a staff person, another individual or during the use of a restraint.

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Serious Injury	Pressure Injury (Decubiti, Pressure Ulcer, Pressure Sore, Bedsore)	Injury requiring treatment beyond first aid.	Inpatient admission to a hospital as a result of an accidental or unexplained injury or an injury caused by a staff person, another individual or during the use of a restraint.
Sexual Abuse	Rape	Abuse, including abuse to an individual by another individual.	Abuse, including abuse to an individual by another individual.
Sexual Abuse	Sexual Harassment	Abuse, including abuse to an individual by another individual.	Abuse, including abuse to an individual by another individual.
Sexual Abuse	Unwanted Sexual Contact	Abuse, including abuse to an individual by another individual.	Abuse, including abuse to an individual by another individual.
Sexual Abuse	Other	Abuse, including abuse to an individual by another individual.	Abuse, including abuse to an individual by another individual.
Site Closure	Infestation	Emergency closure.	Not Applicable
Site Closure	Loss of utilities	Emergency closure.	Not Applicable
Site Closure	Natural disaster/weather related	Emergency closure.	Not Applicable
Site Closure	Structural	Emergency closure.	Not Applicable
Site Closure	Other	Emergency closure.	Not Applicable

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Suicide Attempt	Injury/illness that required medical intervention	A physical act by an individual in an attempt to complete suicide	Inpatient admission to a hospital as a result of an accidental or unexplained injury or an injury caused by a staff person, another individual or during the use of a restraint.
Suicide Attempt	No injury/illness that required medical intervention	A physical act by an individual in an attempt to complete suicide	Inpatient admission to a hospital as a result of an accidental or unexplained injury or an injury caused by a staff person, another individual or during the use of a restraint.
Physical Restraint	Provider Emergency Protocol	Use of a restraint	Not Applicable
Physical Restraint	Human Rights Team Approved Restrictive Intervention	Use of a restraint	Not Applicable
Medication Error	Wrong Medication	A medication error as specified in § 6100.466 (relating to medication errors), if the medication was ordered by a health care practitioner.	Not Applicable

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Medication Error	Wrong Dose	A medication error as specified in § 6100.466 (relating to medication errors), if the medication was ordered by a health care practitioner.	Not Applicable
Medication Error	Wrong Time	A medication error as specified in § 6100.466 (relating to medication errors), if the medication was ordered by a health care practitioner.	Not Applicable
Medication Error	Wrong Route	A medication error as specified in § 6100.466 (relating to medication errors), if the medication was ordered by a health care practitioner.	Not Applicable
Medication Error	Wrong Form	A medication error as specified in § 6100.466 (relating to medication errors), if the medication was ordered by a health care practitioner.	Not Applicable
Medication Error	Wrong Position	A medication error as specified in § 6100.466 (relating to medication errors), if the medication was ordered by a health care practitioner.	Not Applicable

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Medication Error	Wrong technique/method	A medication error as specified in § 6100.466 (relating to medication errors), if the medication was ordered by a health care practitioner.	Not Applicable
Medication Error	Omission	A medication error as specified in § 6100.466 (relating to medication errors), if the medication was ordered by a health care practitioner.	Not Applicable